

## Software Testing Research: Achievements, Challenges, Dreams

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### Starting point

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Testing today is still:

ad hoc  
expensive  
unpredictable in effectiveness

#### Roadmap

where to go: *dreams*  
how to get closer: *challenges*

### Recap: what is testing ?

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*observing* software *execution*  
to *validate* intended behavior  
and *identify errors*

Testing is an instrument for *quality assurance*:  
most *direct* and *realistic* feedback  
⇒ will always be needed

### What are the hard problems?

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Software is increasingly *complex, pervasive, critical*

We want higher *quality* and *dependability*

⇒ Testing becomes *difficult* and *expensive*

## The Questions (1 - 2)

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**WHY:** *test objective*

- look for faults ?
- decide on product release ?
- evaluate usability ?

## The Questions (3 - 4)

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**HOW MUCH:** *test adequacy*

- coverage analysis
- reliability measures

## The Questions (1 - 2)

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**WHY:** *test objective*

- look for faults ?
- decide on product release ?
- evaluate usability ?

**HOW:** *test selection*

- ad hoc
- at random
- systematically (algorithmic or statistical)

Important: influences test *efficacy*

## The Questions (3 - 4)

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**HOW MUCH:** *test adequacy*

- coverage analysis
- reliability measures

**WHAT:** *levels of testing*

- test whole system
- or a part (unit/component/subsystem; integration)

## The Questions (5 - 6)

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**WHERE** do we observe ?  
 in a *simulated* environment  
 in the *real* (target) context  
 Example: embedded systems (test with emulators / with hardware)

## The four dreams

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1. Universal test theory
2. Test-based modeling
3. 100% automatic testing
4. Efficacy-maximized test engineering

## The Questions (5 - 6)

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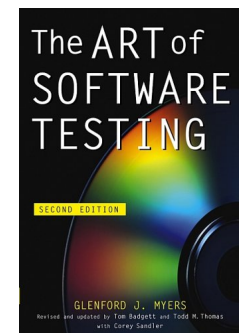
**WHERE** do we observe ?  
 in a *simulated* environment  
 in the *real* (target) context  
 Example: embedded systems (test with emulators / with hardware)

**WHEN** it in the product lifecycle ?  
*earliest* is cheapest  
 but some tests must await *deployment*

## Achievements: early years

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**70's:** testing is *an art*  
*destructive* (execute with intent to find errors)  
 design is *constructive*



**80's:** testing is *an engineered discipline*  
*positive* view: prevention

a broad and continuous activity *throughout the development* process [Hetzel]

the act of *designing* tests is one of the best bug preventers known [Beizer]

## Achievements: Testing process [what? when?]

### process models

systematize “test design thinking”

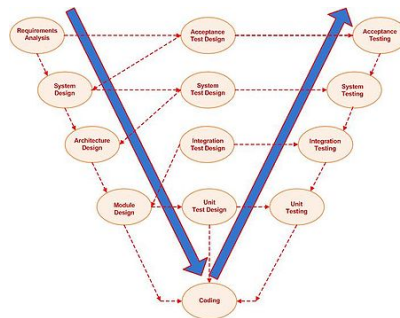
levels: unit, integration, system testing

⇒ *V model* ubiquitous (is it?)

Critics: excess of form = bureaucracy

⇒ *agile testing*

⇒ *test-driven development*



What is a *suitable testing process* ?

⇒ still a fundamental *research topic*

## Achievements: Test criteria [how? how much?]

Well studied and classified (black-box/white-box, coverage, etc.)

Challenge: *make a* justified *choice* / combination

Buzzword: *model-based* testing ?

*Comparing* test criteria

from *analytical* towards *empirical*  
*systematic* vs. *random* testing

Demonstrating *effectiveness* of testing techniques

⇒ still a fundamental *challenge*

## Object-oriented testing

*90's*: everything is object-oriented!

but: new *problems* and *risks* for testing

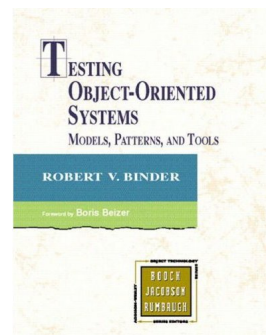
encapsulation can *hide bugs*

need to *re-test inherited* code

need new *coverage* models

for *polymorphism* and dynamic binding

need effective *incremental integration* testing



1248 pages!

## Component-based testing

*late 90's*: components are the ultimate!

but: interface info not enough for functional testing  
need to *re-test in deployed context* (just like O-O!)

Solutions:

*built-in testing* (tests packaged with component)

explicit *contracts* for verification

*Compositional testing* still a fundamental theoretical challenge

## Protocol testing

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Protocols are *precisely specified* (good for testing!)  
 may have *standard conformance test suite*  
 or formal methods to check conformance  
 ⇒ *different* from general software testing

Trends:

*software* could adopt *standardized specifications*  
*protocols* are increasingly *complex* } ⇒ getting closer

## Dream 1: Universal test theory

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A *theory*, what for ?

*understand* strengths and limitations of test *techniques*  
*choose* the most adequate one

Dream (as concept):

despite *negative* theory (testing can never be exact)  
 be *positive* in practice

what do we know after applying a given testing technique ?  
 how can we dynamically tune our testing strategy ?

Dream (as tool):

given *goal* of maximum test effectiveness (cf. dream 4)  
 decide combination of *techniques to adopt* (+ assumptions)

## Reliability testing [how? how much?]

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Testing can't find all bugs

⇒ eliminate bugs hit *most often* and/or *most critical* ones

How ?

Intuitively: tester mimics user

Practically: use *reliability models*

Status:

Theory is good (software reliability models)

Practice not so good (perceived as expensive, hard to identify usage profile)

⇒ still a challenge ...

## Challenge: Explicit test hypotheses [why?]

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Guarantees are never absolute (in testing and real life...)

only under certain conditions (read the fine print)

⇒ to be sure what we're saying, need to *make assumptions explicit*

Assumptions:

execution context (closed world assumption)

software is "correct". But are the libraries ? the OS ? the hardware ?

is test representative for a class ?

e.g. *uniformity hypothesis* within a black-box partition

Similar to *fault model* in protocol testing / fault-tolerance

Summary: explicating assumptions refines **WHY** a test is relevant

## Challenge: Test effectiveness [why? how? how much?]

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Old challenges, still current:

How *effective* is a test selection criterion for finding faults ?

*evidence*: analytical, statistical, empirical

For what classes of faults is a criterion useful ?

Wisdom: use *combination* of techniques

*saturation effect* affects single technique

e.g. *systematic vs. random* testing

## Empirical body of evidence

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*Controlled experimentation is an indispensable research methodology*

but is hard to do

Need meaningful experiments, in terms of

*scale* (large)

*subjects* used (replicated)

*context* (real-world)

Solution: collaborative open experiments

data repositories: bugs, flawed versions, tests

Software-artifact Infrastructure Repository <http://sir.unl.edu/>

Cooperative Bug Isolation Project <http://www.cs.wisc.edu/cbi/>

## Challenge: Compositional testing [what?]

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*Divide et impera* also in testing

do unit testing first

Integration order / test order: extensively investigated

*Compositional*: what do component tests say about system behavior ?

what can we *reuse* ?

what tests must we *add* ?

Current work:

component-based software reliability (quantitative estimation)

assume-guarantee reasoning

composition of protocols

fault models for component integration

## Dream 2: Test-based modeling

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Buzzword: *model-based testing*

use whatever design model and adapt a testing technique to it

Reverse is better: *test-based modeling*

build models that allow effective testing

similar to *design for testability*

Example: models already *instrumented for testing*

*assertions*: check internal state at runtime

*contracts*: can be used for test generation (JMLUnit)

## Challenge: Model-based testing [how? how much?]

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MBT: an old idea (since Moore and FSMs)

Promised benefits are high, but adoption barrier still there:  
lack of formal modeling skills

Insight, the hard way: forcing users to new notations does not work  
⇒ *combine* different models  
transition-based, contract-based, scenario-based

Combine with other approaches (testing over simulations)

Integrate in current software processes

Special case: *conformance-based testing* (model to specification)  
again, mostly theory, not practice ...

## Challenge: Test oracles [why?]

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Basics: can't do testing without knowing good output

Status:

few alternatives to human observation  
can become bottleneck to test automation  
balance cost and effectiveness (missed errors / false positives)

## Challenge: Anti-model-based testing [how? how much?]

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Reverse: *derive* models from test executions (chosen or passively recorded)  
useful when models unavailable

Models can be:

finite-state machine  
data invariants / pre- / postconditions  
sequence diagrams

Approaches:

dynamic invariant generation  
learning automata / extracting interfaces (for components/services)

Models need to be refined if proven inaccurate (counterexample-based)

## Dream 3: 100% automatic testing

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Utopia: a program that automatically tests itself  
(generates instrumentation and test cases, runs them, removes test code, produces reports)

Promising: automated *intelligent input generation* (for unit tests)

Directed Automated Random Testing

(statically extract interface, produce test driver,  
generate random inputs, exercise alternating paths)

software agitation (commercial, similar)

parameterized unit tests (Microsoft PEX for .NET)

tests characterized by symbolic constraints between inputs

## Challenge: Test input generation

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Again, lots of theory, limited industrial impact. But good hope.

### *model-based test generation*

combine state-based approaches with data models

*symbolic execution*: exploit advances in theorem proving/constraint solving

### *random test generation*

sophisticated techniques may outperform systematic test generation  
promising: *concolic* (concrete + symbolic) execution

### *search-based test generation*

direct search towards most promising areas of input space

## Challenge: On-line testing [where? when?]

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Testing not just pre-release, but also in operation

⇒ monitor system behavior, using dynamic analysis and self-test

Goals: detect malfunctions, performance problems; possibly recover

Called *passive testing*: needs no test suite

Less powerful than proactive testing

Related:

actively stimulate application after deployment

testing for resource-constrained applications (e.g. adaptive code unloading)

## Challenge: Domain-specific test approaches [what?]

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So far, case studies rather than methodologies:

databases

GUI usability

web applications

avionics

telecom systems

Current work: frameworks for generating domain-specific test drivers

## Dream 4: Efficacy-maximized test engineering

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Ultimate goal: “*practical testing methods, tools and processes for development of high quality software*”

good process

+ powerful methods (*efficiency* and *effectiveness*)

+ easy-to-use tools / environments

Main obstacle: *complexity*

Main strategy: *design for testability*



Fact: Most testing is *regression testing*

To reduce cost, need to  
 reduce amount of retesting  
 prioritize test cases  
 automate *re*-execution

Issues:

testing global properties as parts are modified  
 testing component evolution in line with architecture  
 testing product families

Related: *test factoring*

split complex system test into many unit tests

Research Topics in Software Systems. Lecture 10

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## Challenge: Understanding the costs of testing

Everyone keeps citing that testing cost is 50% of total software cost ...

Problem: real data is often company-confidential

Problem: most statistics assume all bug costs are equal

*value-based software engineering* [Boehm]

quantitative frameworks to support manager decisions

Key question: how to use a fixed testing budget most effectively ?

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## Challenge: Testing patterns

Recall: theory goal of relating faults to appropriate tests  
 ⇒ need to collect pragmatic evidence for test effectiveness

⇒ organize proven solutions into *catalogue of test patterns*

*Patterns document problem-solving expertise*

e.g. patterns for testing object-oriented software [Binder]

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## Challenge: Education of software testers

... this lecture ...

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## Transversal challenges

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Testing within the emerging development paradigm Current paradigm: from components to services

Testing process different for service developer, provider, and integrator  
black-box for the latter two  
off-line or on-line

Services have to *expose an interface* (e.g. WSDL)  
⇒ can use it for testing  
⇒ but method signatures only are poor information

### Coherent testing of functional and extrafunctional properties

timing, performance, resource usage, workload ...

approaches: model-based and genetic  
extend conformance and interface theory

## Conclusions (personal)

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Programs will always have bugs.

The obvious ones are found, the hidden ones remain.

Software testing has *many challenges*.

The easy ones are solved, the hard ones remain.

⇒ lots of *interesting research* to do !

*Exploit connections* to other areas (debugging, static analysis, modeling, constraint solving, formal methods ...)